



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Shunpei YAMAZAKI et al. Art Unit : 2621
Serial No. : 09/833,674 Examiner : Unknown
Filed : April 13, 2001
Title : SYSTEM AND METHOD FOR IDENTIFYING AN INDIVIDUAL

#4
TC
6-10-04

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

RECEIVED

JUN 07 2004

Technology Center 2600

INFORMATION DISCLOSURE STATEMENT

Applicant submits the references listed on the attached form PTO-1449. A copy of a communication from a foreign patent office in a counterpart application is also enclosed.

Submitted herewith is an English translation of the following foreign language references, or portions thereof:

Desig. ID	Source
AJ	DE 198 09 006 – English Abstract: DIALOG(R) File 352: Derwent WPI (2004); Accession No. 012735357
AK	DE 200 08 345 – English Abstract: DIALOG(R) File 352: Derwent WPI (2004); Accession No. 013501819
AM	WO 2000-17823 – English Abstract: DIALOG(R) File 352: Derwent WPI (2004); Accession No. 013111831 and family to U.S. Patent Publication No. 2001/047479 (Desig. ID “AA”)
AO	WO 1999-24938 – English Abstract: DIALOG(R) File 352: Derwent WPI (2004); Accession No. 012521416 and family to U.S. Patent No. 6,657,538 (Desig. ID “AB”)

This statement is being filed before the receipt of a first Office action on the merits.
Please apply any charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: 6/4/04


John F. Hayden
Reg. No. 37,640

Fish & Richardson P.C.
1425 K Street, N.W.
11th Floor
Washington, DC 20005-3500
Telephone: (202) 783-5070
Facsimile: (202) 783-2331
JFH/adt
40222330.doc

JUN 04 2004

Sheet 1 of 1

Substitute Form PTO-1449 (Modified)		U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 12732-028001	Application No. 09/833,674
Information Disclosure Statement by Applicant <small>(Use several sheets if necessary)</small> <small>(37 CFR §1.98(b))</small>		Applicant Shunpei YAMAZAKI et al. Filing Date April 13, 2001		
				Group Art Unit 2621

U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	2001/0047479	29 NOV 2001	BROMBA et al.			
	AB	6,657,538	02 DEC 2003	RITTER			RECEIVED
	AC						JUN 07 2004
	AD						
	AE						Technology Center 2600
	AF						
	AG						
	AH						
	AI						

Foreign Patent Documents or Published Foreign Patent Applications							
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation
							Yes No
	AJ	DE 198 09 006	09 SEP 1999	GERMANY			Abst.
	AK	DE 200 08 345	17 AUG 2000	GERMANY			Abst.
	AL	EP 0 593 386	20 APR 1994	EUROPE			English
	AM	WO 2000/17823	30 MAR 2000	WIPO			Abst.
	AN	WO 98/40962	17 SEP 1998	PCT			English
	AO	WO 99/24938	20 MAY 1999	WIPO			Abst.
	AP	WO 99/28701	10 JUN 1999	PCT			English

Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
	AQ	European Search Report, March 23, 2004, 4 pages.
	AR	
	AS	
	AT	

Examiner Signature	Date Considered
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	